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July 28, 2011

New Hampshire Department of Justice
Office of the Attorney General
33 Capitol Street
Concord, NH 03301

RE: Notice Pursuant to N.H. Rev. Stat. § 359-C:20

To Whom It May Concern:

Pursuant to N.H. Rev. Stat. § 359-C:20, we are notifying you that we recently discovered that the personal information pertaining to 27 New Hampshire residents' 529 college savings plan accounts (for which we, or our affiliate, Upromise Investment Advisors, LLC, act as the 529 college savings plan's program manager or recordkeeper) may have been accessed or acquired in an unauthorized manner. A former employee may have inappropriately used his authorized access during his employment to acquire the residents' 529 college savings plan account information. Once we became aware of this issue, we immediately took corrective action to protect and monitor the accounts closely.

Although we do not have any indication that the New Hampshire residents' information has been used inappropriately, notification letters were mailed beginning on June 23, 2011 to the New Hampshire residents notifying them of this issue in accordance with N.H. Rev. Stat. § 359-C:20.

Sincerely,



Patrick W. McKeon
Chief Compliance Officer