



July 2, 2012

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STATE OF NH
DEPT OF JUSTICE
12 JUL -5 AM 9:51

New Hampshire Attorney General's Office
Consumer Protection & Antitrust Bureau
33 Capitol Street
Concord, NH 03301

Re: Notice Regarding Potential Compromise of Personal Information

Dear Attorney General Delaney:

I write on behalf of my client, The Depository Trust & Clearing Corporation ("Company"), to inform you of a recent incident involving the personal information about two of your state's residents. Company recently discovered that on or about March 12, 2012, personal information about some staff members was erroneously placed on an internal system within Company. The information was accessible to staff members within Company's network, but not from outside Company. Upon becoming aware of this matter on May 14th, Company immediately secured the personal information and began an investigation into the matter. During the investigation, Company determined that the internal system within Company did not have sufficient logging information to determine who, if anyone, accessed the information for a two month period. Company believes the personal information may have included employee names, social security numbers, direct deposit bank account numbers, administrative information about health insurance providers, and other human resources data.

At this time, Company has no reason to believe that any personal information was accessed inappropriately or that it has been or will be accessed or misused. Nonetheless, as a precaution, Company is notifying all affected individuals via written letter to each through first class mail, and offering them the opportunity to enroll in a free credit monitoring service for one year. These notifications will begin mailing on or about June 29, 2012. A copy of the form of notice to affected individuals is attached for your reference.

If you have any questions or need further information regarding this incident, please call me.

Very truly yours,

Robyn Sterling

Enclosure



Nikki Poulos
Managing Director
General Counsel FICC and
Chief Privacy Officer

55 WATER STREET
 NEW YORK, NY 10041-0099

June 29, 2012

84365LV1-0123456

SAMPLE A SAMPLE



123 ANY ST

APT ABC

ANYTOWN, US 12345-6789



Dear Sample A Sample:

We want to inform you of a recent incident that may affect some staff members¹, including you.

We recently discovered that on or about March 12, 2012, personal information about some staff members was erroneously placed on an internal system within DTCC. The information was accessible to staff members within DTCC's network, but not from outside the company. Upon becoming aware of this matter on May 14th, we immediately secured the personal information and began an investigation into the matter. During our investigation, we determined that the internal system within DTCC did not have sufficient logging information to determine who, if anyone, accessed the information during the two month period. We believe the personal information may have included your name, social security number, direct deposit bank account number, administrative information about your health insurance provider, and other human resources data.

We have no reason to believe that any of your personal information was accessed inappropriately or that it has been or will be misused. Nevertheless, because we take the protection of your personal information seriously, we are taking the following steps in abundance of caution to address any concerns you may have:

- To help monitor and detect any possible misuse of personal information about you, we are offering you a complimentary one-year subscription of "ProtectMyID Alert". This service includes online access to your Experian credit report, daily credit monitoring and alerts, customer and fraud resolution support and identity theft insurance. If you wish to take advantage of this offer, please take a moment to review and complete the Experian enrollment process to begin your free subscription at <http://www.protectmyid.com/redeem> or call 877-371-7902 to enroll.
- Your activation code for the complimentary subscription is: (insert code), and you have until September 12, 2012 to activate it.
- We also want to provide to you the address and toll-free telephone numbers for all three credit reporting agencies should you wish to contact them:
 - *Equifax: 1-800-685-1111; www.equifax.com; P.O. Box 740241, Atlanta, GA 30374-0241*
 - *Experian: 1-888-397-3742; www.experian.com. P.O. Box 9532, Allen, TX 75013*
 - *TransUnion: 1-800-860-7289; www.transunion.com, P.O. Box 6790, Fullerton, GA 92834-6790*

¹ Staff members include DTCC and NYPC employees, consultants and temporary personnel.

(OVER PLEASE)



Please note -- you are always entitled to receive your credit report from each of the three national credit reporting agencies once per year, free of charge. You may obtain your free annual credit report from each of the national credit reporting agencies by visiting www.annualcreditreport.com, by calling (877) 322-8228 or by mailing your request to Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348-5281.

Also, whenever you receive your credit report(s) you should always review them carefully. Look for any inaccurate information and contact the appropriate credit reporting agency to notify it of any incorrect information, including accounts you did not open. In addition, you should closely monitor your banking and credit account statement for suspicious activity on your existing accounts.

If at any time you find suspicious activity on your credit reports, please file a complaint with the FTC at www.ftc.gov/idtheft or call (877) 438-4338. Your complaint will be added to the FTC's Identity Theft Data Clearinghouse, where it will be accessible by law enforcement agencies for their investigations. It is also advisable to notify all three national credit reporting agencies if you notice any suspicious activity, as well as your local law enforcement or state Attorney General's office.

We sincerely apologize for any inconvenience this situation may cause you. We strive to make sure your sensitive personal information is adequately protected. Please be assured we are taking steps to prevent a similar occurrence, and stand ready and willing to help you.

If you have any questions about this incident, please do not hesitate to contact the hotline we have established for you at 1-855-770-0006, Monday through Friday, 8:00 a.m. to 5:00 p.m., Eastern Standard Time and enter the following ten-digit telephone reference code 3822061812 when prompted.

Sincerely,

A handwritten signature in cursive script that reads "Nikki Poulos".

Nikki Poulos, Managing Director and DTCC Chief Privacy Officer

IMPORTANT STEPS TO HELP PREVENT FRAUD

For residents of California, Hawaii, Illinois, Iowa, Maryland, Michigan, Missouri, North Carolina, Oregon, Vermont, Virginia, West Virginia, and Wyoming:

It is recommended by state law that you remain vigilant for incidents of fraud and identity theft by reviewing credit card account statements and monitoring your credit report for unauthorized activity. You may obtain a copy of your credit report, free of charge, whether or not you suspect any unauthorized activity on your account by contacting any one or more of the national consumer reporting agencies listed below. They can also provide you with information about fraud alerts and security freezes.

Equifax

P.O. Box 740241
Atlanta, GA 30348
1-800-685-1111
www.equifax.com

Experian

P.O. Box 2104
Allen, TX 75013
1-888-397-3742
www.experian.com

TransUnion

P.O. Box 6790
Fullerton, CA 92834-6790
1-877-322-8228
www.transunion.com

For residents of Iowa:

State law advises you to report any suspected identity theft to law enforcement or to the Attorney General.

For residents of Oregon:

State laws advise you to report any suspected identity theft to law enforcement, as well as the Federal Trade Commission.

For residents of Illinois, Maryland and North Carolina:

State laws require us to tell you that you can obtain information from the Federal Trade Commission about steps you can take to avoid identity theft (including how to place a fraud alert or security freeze). If you are a Maryland or North Carolina resident, you may also be able to obtain this information from your state's Attorney General.

MD Attorney General's Office

Consumer Protection Division
200 St. Paul Place
Baltimore, MD 21202
1-888-743-0023
www.oag.state.md.us

NC Attorney General's Office

Consumer Protection Division
9001 Mail Service Center
Raleigh, NC 27699-9001
1-877-566-7226
<http://www.ncdoj.gov/>

Federal Trade Commission

Consumer Response Center
600 Pennsylvania Avenue, NW
Washington, DC 20580
1-877-IDTHEFT (438-4338)
www.ftc.gov/bcp/edu/microsites/idtheft/

For residents of Massachusetts and West Virginia:

State laws require us to inform you of your right to obtain a police report if you are a victim of identity theft. You also have the right to place a security freeze on your credit report. A security freeze is intended to prevent credit, loans and services from being approved in your name without your consent; however, using a security freeze may delay your ability to obtain credit.

To place a security freeze on your credit report, you need to send a request to a consumer reporting agency by certified mail, overnight mail, or regular stamped mail. The following information must be included when requesting a security freeze (note that if you are requesting a credit report for your spouse, this information must be provided for him/her as well): (1) full name, with middle initial and any suffixes; (2) Social Security number; (3) date of birth; (4) current address and any previous addresses for the past five years; and (5) any applicable incident report or complaint with a law enforcement agency or the Registry of Motor Vehicles. The request must also include a copy of a government-issued identification card and a copy of a recent utility bill or bank or insurance statement. It is essential that each copy be legible, display your name and current mailing address, and the date of issue. The consumer reporting agency may charge a fee of up to \$5.00 to place a freeze or lift or remove a freeze, unless you are a victim of identity theft or the spouse of a victim of identity theft, and you have submitted a valid police report relating to the identity theft incident to the consumer reporting agency.

Equifax Security Freeze

P.O. Box 105788
Atlanta, GA 30348
www.equifax.com

Experian Security Freeze

P.O. Box 9554
Allen, TX 75013
www.experian.com

TransUnion (FVAD)

P.O. Box 6790
Fullerton, CA 92834-6790
www.transunion.com