STATE OF NEW HAMPSHIRE, DEPARTMENT OF JUSTICE OFFICE OF THE ATTORNEY GENERAL CONSUMER PROTECTION AND ANTITRUST BUREAU

PRINCIPAL'S BACKGROUND STATEMENT

This form is to be completed by all principals (as defined by Jus 1301.06 and Jus 1401.07).

I.	General Background					
	A. Name: First		Middle		Last	
	Alias, if any:					
		First	Middle		Last	
	B. Current Residen	ce:				
	Residence(s) past five years:					
	C. Current Business Address:					
	Business Address(es) past five years:					
	D. Date of Birth:					
	E. Place of Birth:					
		City/Town		State	Country	
II. develo	Nature of Ownership A. Are you an offic ping this subdivision/	er, director, par			business organizatio role.)	n

B. Percentage of ownership, if any: _____

C. Do you have a written agreement covering acquisition of additional interests? Yes No_If yes, provide copy of agreement or give full particulars on separate sheet. D. What are your arrangements regarding compensation, salary, commissions, profit sharing, etc.?

E. What amount of time do you devote to this organization's business affairs?

F. Have you been a principal (with this business entity or any other business entity) in other subdivision or condominium developments in this state, whether or not subject to registration? Please list and provide the attorney general file number.

III. Employment Record

A. Present occupation or profession:

B. List previous employment for past five years, including the name and address of each employer:

IV. Education Record:

A. List names and addresses of schools and college(s) attended, including years attended, degrees earned:

B. State any specialized education in real estate, land development or condominiums:

V. <u>Credit References</u>

Names and addresses of five personal or business credit references:

1. Name:

Address:

2. Name:

Address:

3. Name:

Address:

4. Name:

Address:

5. Name:

Address:

VI. Character References:

Names and addresses of five character references. (Do not list relatives or associates in this enterprise.)

1.	Name:	Length of time known	
	Address:	Frequency of association	
2.	Name:	Length of time known	
	Address:	Frequency of association	

3.	Name:	Length of time known
	Address:	Frequency of association
4.	Name:	Length of time known
	Address:	Frequency of association
5.	Name: Address:	Length of time known
	Auuress.	Frequency of association

VII. Financial References

Banking facilities used for personal and business accounts during the past five years:

1.	Name:	Your address at the time
	Address:	
2.	Name:	Your address at the time
	Address:	
3.	Name:	Your address at the time
	Address:	
4.	Name:	Your address at the time
	Address:	
5.	Name:	Your address at the time
	Address:	

VIII. <u>Real Estate Licensure</u>

A. Have you ever been licensed as a real estate broker or salesman in any state? Yes _____No _____

B. If ever licensed as a real estate broker or salesman, list:

1. State(s) licensed: _____

Date(s) of licensure:

Current status:

2. Has your license as a real estate broker or salesman ever been revoked or suspended in any state? Yes <u>No</u>. If yes, give full particulars on separate sheet.

3. Have you ever been the subject of investigation by any real estate licensing authority? Yes <u>No</u>. If yes, <u>give full particulars on separate sheet</u>.

IX. Bankruptcy, Receivership, Foreclosure

A. Have you been an officer, director, or other principal in any business organization which has voluntarily or involuntarily declared bankruptcy or been subject to foreclosure or receivership during the past seven years? Yes _____No____. If yes, give full particulars on separate sheet.

X. <u>Criminal Convictions, Injunctions and Orders</u>

A. During the past ten years, have you, as an individual or as principal in a business organization:

1. Been convicted of a violation, misdemeanor or felony, which has not been annulled by a court, involving condominium or land dispositions or any aspect of land sales in any state or foreign country? Yes No .

2. Been convicted of any other felony, which has not been annulled by a court, in any state or foreign country? Yes No____. If yes to either, give full particulars on separate sheet.

B. During the past five years, have you, as an individual or principal in a business organization, been subject to an injunction or administrative order which restrained a false or misleading promotional plan involving land disposition, unfair or deceptive trade practices under 15 U.S.C. 45(a)(l) or equivalent state statutes, securities laws or the commodities futures industry? Yes No . If yes, give full particulars on separate sheet.

C. Are you currently a defendant in any pending litigation involving condominium or land dispositions or any felony in any state or foreign country?

Yes____No _____

If yes, give full particulars on separate sheet.

AFFIDAVIT/AFFIRMATION

I,________, do affirm that the foregoing (type or print name) answers and statements, knowingly made by me, are true, and that I have not omitted to state any material facts touching upon such matters. I understand that inquiries may be sent to character and credit references, and to banking facilities, and that any misrepresentation or omission in the responses to the foregoing questionnaire may result in denial or revocation of a registration of the subdivision/condominium in connection with which it is filed. I further authorize the Attorney General of New Hampshire and his assistants and agents to investigate the statements herein made, and I request that any person or agency which has information concerning the foregoing matters cooperate fully with the Attorney General and his assistants and agents by freely providing all information in his possession, regardless of the fact that such information might otherwise be subject to a privilege or confidentiality against disclosure.

		Signature
State of)	
County of) SS)	
Subscribed a	and sworn to before me thisday of	, 20
(Seal)		
	Notary Public/Justic	e of the Peace

My Commission Expires: